

Niveau Financial Services

9819211599

niveaufinancialservices@gmail.com

27 TH FLOOR 271 DHAWALGIRI CO-OP

HSG SOC LTD,, YASHODHAM GEN A.K

YAIDYA MARG, GOREGAON E,

MUMBAI, Maharashtra - 400063

**Risk Tolerance
Questionnaire****Name :****Phone :****Email :****Date of Birth :****PAN :****Address :****IP Address :**

1. How old are you?

- ☐ Above 60 Years
- ☐ Between 45 - 60 Years
- ☐ Between 30 - 45 Years
- ☐ Less than 30 Years

2. How many years away is your nearest goal?

- ☐ Less than 2 Years
- ☐ Between 2 - 3 years
- ☐ Between 3 - 5 Years
- ☐ Between 5 - 10 Years
- ☐ Above 10 Years

3. If your investment outlook is long-term (more than 5 years), how long will you hold on to a poorly performing portfolio before cashing in?

- ☐ Not hold and cash in immediately if there is erosion in capital
- ☐ Will hold for 3 months
- ☐ Will hold for 6 months
- ☐ Will hold for one year
- ☐ Will hold for more than 2 years

4. My current and future source of income are

- ☐ Very unstable
- ☐ Unstable
- ☐ Somewhat stable
- ☐ Stable
- ☐ Very Stable

5. Choose your investment preference/Objective

- ☐ Principal protection
- ☐ Can consider a loss of 4% if the expected gains are of 10%
- ☐ Can consider a loss of 8% if the expected gains are of 20%
- ☐ Can consider a loss of 15% if the expected gains are of 30%
- ☐ Can consider a loss of 25% if the expected gains are of 50%

6. If a few months after investing, the value of your portfolio goes down due to market decline by 20%, what would you do?

- ☐ Cut losses and Sell completely. Capital preservation is primary objective
- ☐ Sell partially and transfer investments to safer asset classes
- ☐ You would be worried, but would give your investments a little more time
- ☐ Retain fully, you are OK with volatility and accept decline in portfolio value as a part of investing
- ☐ Buy more to bring down the average buying price and not bothered by notional losses

7. Volatile investments usually provide higher returns and tax efficiency. What is your desired balance?

- ☐ Preferably guaranteed returns, before tax efficiency
- ☐ Stable returns, minimal tax efficiency
- ☐ Some variability in returns, some tax efficiency

- ☐ Moderate variability in returns, reasonable tax efficiency
- ☐ Unstable but potentially higher returns, maximising tax efficiency

8. How familiar are you with financial markets?

- ☐ I have no idea about financial markets
- ☐ I have very basic idea about investing & no experience, I understand the risks and basic investment concepts like diversification
- ☐ I have a fair knowledge & experience, have invested on my own and understand the risk and return characteristics of different asset classes
- ☐ I have thorough knowledge & experience with most investment avenues. I have my own investment philosophy

9. Describe your Risk Range. What level of losses and profits you would be comfortable with?

- ☐ Worst Year = 1% and Best Year = 15 %
- ☐ Worst Year = -5% and Best Year = 20%
- ☐ Worst Year = -10% and Best Year = 25%
- ☐ Worst Year = -15% and Best Year = 30%
- ☐ Worst Year = -18% and Best Year = 35%
- ☐ Worst Year = -22% and Best Year = 45%

10. What is the current market value of your net worth (please consider all your assets & subtract from the total the sum of your debts and liabilities) ?

- ☐ Less than Rs.50 lakhs
- ☐ Between Rs.50 lakhs - 1 Crore
- ☐ Between Rs. 1 Crore - 3 Crore
- ☐ Between 3 Crores - 5 Crores
- ☐ More than 5 Crores

Submitted On :

Signature :

Disclaimer :

The Risk Tolerance Questionnaire is designed to help assess your general willingness and ability to take investment risk based on the information provided by you. The outcome is indicative in nature and is intended solely to assist in understanding your broad risk profile.

This assessment:

- Does not constitute investment advice, recommendation, or offer to buy or sell any financial products.
- Is based on the responses provided by you and assumes the information is true, accurate, and complete.
- May not fully capture all aspects of your financial situation, including changes in income, liabilities, goals, liquidity needs, or market conditions.

Investment decisions should not be made solely based on this questionnaire. You are advised to:

- Carefully consider your financial goals, investment horizon, and specific circumstances
- Consult with a qualified financial advisor, where necessary

All investments in securities and mutual funds are subject to market risks, including the possible loss of principal. Past performance is not indicative of future results.

By proceeding with this questionnaire, you acknowledge and agree that:

- The risk profiling is indicative and non-binding
- Final investment decisions will be taken by you at your sole discretion
- Neither the platform nor its affiliates shall be held liable for any losses arising from reliance on this assessment